

#3

PATENT

Attorney Docket No. 440561/PALL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

STEVENS ET AL.

Application No. 10/069,935

Filed: March 1, 2002

For: WATER TREATMENT SYSTEMS AND METHODS

Art Unit: Unassigned

Examiner: Unassigned

RESPONSE TO NOTICE TO MISSING REQUIREMENTS

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir

Applicants hereby respond to the Notice to Missing Requirements dated April 29, 2002.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

2. Documents Submitted Herewith

- a. ☒ Executed Declaration of inventor(s)
- b. ☐ Nucleotide and/or Amino Acid Sequence Submission:
  - i. ☐ Computer Readable Form (CRF)
  - ii. Specification Sequence Listing on:
    - (1) ☐ CD-ROM or CD-R (2 copies); or
    - (2) ☐ Paper Copy
  - iii. ☐ Statement verifying identity of above copies
- c. ☐ Verified English translation of application
- d. ☒ Copy of the Notice to Missing Requirements
- e. ☐ Substitute Drawings
- f. ☐ Other:

3. Fees

**Application Filing Fee**

APPLICATION FEES				
BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	-20=		x \$18.00	\$
Independent Claims	- 3=		x \$84.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$280.00	\$
Total of above calculations =				\$
Reduction by 50% for filing by small entity =				\$( )
Fee Due =				\$

**Late Filing of Oath or Declaration**

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

**Fee Due** \$130.00

**Submitting a Translation of the Specification**

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

**Fee Due** \$ 0.00

**Extension of Time**

- ☐ Applicants petition for a one-month extension of time under 37 CFR 1.136, the fee for which is \$110.00.
- ☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

**Fee Due** \$

4. **Total Fee Due**

The total fee due is:

Application Filing Fee	\$
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$ 0.00
Extension of Time Fee	\$ 0.00

**Total Fee Due: \$130.00**

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130.00 OP

In re Appln. of STEVENS ET AL.  
Application No. 10/069,935

**5. Fee Payment**

☒

Attached is a check in the sum of \$130.00.  
Charge Account No. 12-1216 the sum of \$ . A duplicate of this  
transmittal is attached.

**6. Fee Deficiency**

☒

If any additional fee is required in connection with this communication, charge  
Account No. 12-1216. A duplicate of this transmittal is attached.

*Leah Oubre*

Leah Oubre, Reg. No. 44,990  
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700 Thirteenth Street, N.W., Suite 300  
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Date: 6/28/02

Missing Parts Response (Revised 2/7/02)

LCU

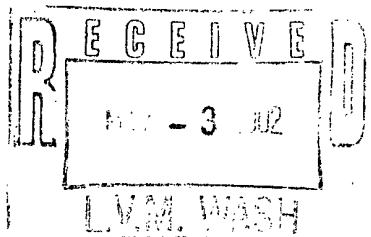


## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/069,935	FIRST NAMED APPLICANT Doanld B Stevens	ATTY. DOCKET NO. 440561/PALL
		INTERNATIONAL APPLICATION NO. PCT/US00/23980
		I.A. FILING DATE 09/01/2000
		PRIORITY DATE 09/02/1999

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WASHINGTON, DC 20005-3960



CONFIRMATION NO. 7240

371 FORMALITIES LETTER



\*OC000000007972749\*

Date Mailed: 04/29/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

DO NOT REPLY

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/069,935	PCT/US00/23980	440561/PALL

FORM PCT/DO/EO/905 (371 Formalities Notice)